

Jonathan Purle

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Summary

- I am the type of person people call when they have a problem. I can navigate bureaucracy and get a result.
- In my professional work, I assist small-to-medium sized firms with regulatory problems relating to investment products, pension schemes, corporate governance and financial standing. I give technical advice and design solutions that meet the rules. I trace & recover monies for failed pension schemes and investment vehicles. My favourite part though is in providing representation and advocacy before bureaucrats and regulatory committees. Sometimes this is to support regulatory applications, often it is to defend clients from excessive and unjust decisions.
- This work gives me the aptitude to tackle local government bureaucracy, to gain results for residents, and to hold council officials to account for their use of council taxpayers' money.

Qualifications

- University of York, BA Economics & Philosophy
- Associate of the Chartered Insurance Institute
- Chartered Financial Planner/Fellow of the Personal Finance Society
- Level 6 Diploma, Chartered Institute of Securities & Investments
- Diploma, Pensions Management Institute
- Currently undertaking the qualifying scheme of the Institute of Chartered Secretaries & Administrators. *Papers completed include Applied Business Law, Corporate Law, Corporate Governance, Financial Reporting & Analysis and Financial Decision Making.*
- Other study/training undertaken previously includes Open University Business School's *Foundations of Senior Management.*

Employment History

2012 - Present	Principal Consultant	My Own Regulatory Consulting Business
	I employ 2 colleagues and act for a couple of dozen clients in a number of capacities.	
2009 - 2012	Group Compliance Officer	Niche UK & Swiss Wealth Manager
	Group with 20+ advisers and £150m FUM at peak with further Offices in offshore jurisdictions.	
2008 - 2009	Technical & Compliance Director	Start Up
	Start-up offering online financial planning, broking and discretionary management. The business was ultimately unsuccessful.	
2006 - 2008	Head of Compliance	Large Investment Broker, Adviser & Discretionary Manager
	This company consisted of 40 or so approved persons, with revenues exceeding £20m p.a., c.£1bn of discretionary funds + controlling a further £2bn.	
2005 - 2006	Compliance Director/Technical Manager	Pensions Bureau/Advisory Firm
	Small IFA Firm consisted of 6 advisers, 10 support personnel, 2 working directors (plus 2 others), writing £2m pa.	
2001 - 2005	Pensions Technical Manager	Largest National IFA Group
	This was a large quoted Independent Financial Adviser Group with 1,200 financial advisers. I was the Group's Pensions Technical Manager i.e. technical marketing & compliance.	